



Order

File No. 200508

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1
OF THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

RE: ROBIN ANDERSEN

ORDER

WHEREAS on June 21, 2005, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of By-law No. 1 in respect of a disciplinary proceeding commenced against Robin Andersen (the “Respondent”);

AND WHEREAS the Respondent filed a Reply to the Notice of Hearing on July 21, 2005;

AND WHEREAS the first appearance in this hearing was held by teleconference call before a Hearing Panel of the Prairie Regional Council of the MFDA on August 16, 2005, at which time the hearing in this matter was scheduled to proceed on November 23, 2005 in Edmonton, Alberta;

AND WHEREAS on November 23, 2005 the hearing in this matter proceeded by way of an Agreed Statement of Facts, in which the Respondent admitted that he engaged in misconduct as alleged in the Notice of Hearing and the Hearing Panel heard submissions from counsel for the Respondent and from counsel for Staff of the MFDA;

AND WHEREAS in the opinion of the Hearing Panel, the Respondent failed to comply with MFDA Rules 2.1.1 and 2.3.4 as admitted in the Agreed Statement of Facts.

IT IS HEREBY ORDERED THAT:

1. The Respondent is permanently prohibited from conducting securities related business in any capacity pursuant to MFDA By-law No. 1, section 24.1.1(e); and
2. The Respondent shall pay a fine in the amount of \$200,000 pursuant to MFDA By-law No. 1, section 24.1.1(b).

DATED at Calgary this 28th day of February, 2006.

Per: “Mary M. Hetherington”
The Hon. Mary Hetherington, Chair

Per: “Kathleen Laponsee”
Kathleen Laponsee

Per: “Richard Sydenham”
Richard Sydenham