

Notice of Settlement Hearing
File No. 200808



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

IN THE MATTER OF A SETTLEMENT HEARING
PURSUANT TO SECTION 24.4 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA

Re: Dylan Brown

NOTICE OF SETTLEMENT HEARING

NOTICE is hereby given that a hearing will be held before a Hearing Panel of the Central Regional Council (the "Hearing Panel") of the Mutual Fund Dealers Association of Canada (the "MFDA"), in the Hearing Room located at 121 King Street West, Suite 1000, Toronto, Ontario on Tuesday, November 18, 2008, commencing at 10:00 a.m. or as soon thereafter as the hearing can be held, to consider whether, pursuant to section 24.4 of MFDA By-law No. 1, the MFDA should accept the settlement agreement (the "Settlement Agreement") entered into between Staff of the MFDA and Dylan Brown (the "Respondent").

The proposed Settlement Agreement concerns matters for which the Respondents may be disciplined as a member of the MFDA, pursuant to ss. 20 and 24.1.2 of MFDA By-law No. 1.

PARTICULARS

1. The proposed Settlement Agreement concerns allegations the Respondent Dylan Brown failed in his capacity as Co-Branch Manager to supervise the activities in the branch office.
2. The hearing is open to the public, unless and to the extent that the Hearing Panel determines that all or part of the hearing should proceed in the absence of the public. If the Hearing Panel accepts the Settlement Agreement, then copies of the decision of the Hearing Panel and the Settlement Agreement will be made available to the public.

DATED at Toronto this 17th day of October, 2008.

“Bernadette Devine”
Bernadette Devine
Assistant Corporate Secretary

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