



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

**IN THE MATTER OF A DISCIPLINARY HEARING
PURSUANT TO SECTIONS 20 AND 24 OF BY-LAW NO. 1 OF
THE MUTUAL FUND DEALERS ASSOCIATION OF CANADA**

Re: Barry L. Adams

ORDER

WHEREAS on April 6, 2009, the Mutual Fund Dealers Association of Canada (the “MFDA”) issued a Notice of Hearing pursuant to sections 20 and 24 of MFDA By-law No. 1 in respect of a disciplinary proceeding commenced against Barry L. Adams (the “Respondent”);

AND WHEREAS the first appearance in this proceeding took place by teleconference before a hearing panel of the Atlantic Regional Council of the MFDA (the “Hearing Panel”) on Friday, June 12, 2009;

AND WHEREAS the Respondent and Staff of the MFDA made submissions to the Hearing Panel at the first appearance with respect to scheduling and other procedural matters;

IT IS HEREBY ORDERED THAT:

1. MFDA Staff shall provide documentary disclosure to the Respondent in accordance with Rule 10 of the MFDA Rules of Procedure on or before Friday, July 31, 2009;

2. The Respondent shall provide documentary disclosure to MFDA Staff on or before Friday, August 14, 2009; and

3. The hearing of this matter on its merits shall take place before the Hearing Panel on Thursday, October 29, 2009 at 10:00 a.m. (Atlantic) in Saint John, New Brunswick or as soon thereafter as the hearing can be held.

DATED this 15th day of June, 2009.

Per: “Thomas J. Lockwood”
Thomas J. Lockwood, Q.C., Chair

Per: “David Losier”
David Losier, Industry Representative

Per: “Scott Kay”
Scott Kay, Industry Representative