



NEWS RELEASE

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For further information, please contact:

Marco Wynnycky
Hearings Coordinator
416-945-5146 or mwynnyckyj@mfda.ca

MFDA Sets Date for Carmine Mazzotta Hearing in Toronto, Ontario

September 29, 2009 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding against Carmine P. Mazzotta by Notice of Hearing dated June 29, 2009.

As specified in the Notice of Hearing, the first appearance in this matter took place today before a three-member Hearing Panel of the MFDA’s Central Regional Council.

Following submissions by the parties respecting scheduling and other procedural matters, the Hearing Panel directed that the next appearance in this proceeding will take place on November 26, 2009. The hearing of this matter on its merits has been scheduled for December 15-17, 2009. These appearances will commence at 10:00 a.m. (Eastern), or as soon thereafter as required, in the Hearing Room located at the offices of the MFDA at 121 King Street West, Suite 1000, Toronto, Ontario and will be open to the public, except as may be required for the protection of confidential matters.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 145 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.

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