



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

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MFDA adjourns Hearing on the Merits in the Matter of Carmine Mazzotta

December 11, 2009 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding against Carmine P. Mazzotta by Notice of Hearing dated June 29, 2009.

The hearing of this matter on its merits, originally scheduled to take place on December 15-17, 2009, has been adjourned on consent of the parties to a date to be determined.

An appearance in this matter will take place by teleconference on December 17, 2009 at 10:00 a.m. (Eastern) to reschedule the hearing. The appearance will take place in the Hearing Room located at the offices of the MFDA, 121 King Street West, Suite 1000, Toronto, Ontario, and will be open to the public, except as may be required for the protection of confidential matters.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 143 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.

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