



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

For immediate release

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MFDA schedules next appearance in the Matter of Carmen G. Moerike

August 5, 2009 (Toronto, Ontario) – The Mutual Fund Dealers Association of Canada (“MFDA”) commenced a disciplinary proceeding against Carmen G. Moerike by Notice of Hearing dated June 22, 2009.

As specified in the Notice of Hearing, the first appearance in this matter took place today before a three-member Hearing Panel of the MFDA’s Prairie Regional Council.

The next appearance in this matter has been scheduled to take place on November 13, 2009 commencing at 10:00 a.m. (Central), or as soon thereafter as the appearance can be held, at a location to be announced in Regina, Saskatchewan. The appearance will be open to the public, except as may be required for the protection of confidential matters.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 145 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.

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