



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

NEWS RELEASE

For immediate release

For further information, please contact:

Shaun Devlin
Vice-President, Enforcement
416-943-6472 or sdevlin@mfd.ca

MFDA Hearing Panel makes findings against Chris McAuley

March 24, 2011 (Toronto, Ontario) – A disciplinary hearing in the matter of Chris M. McAuley (the “Respondent”) was held yesterday in Toronto, Ontario before a three-person Hearing Panel of the MFDA’s Central Regional Council.

An Agreed Statement of Facts was filed prior to the hearing in which the Respondent admitted to a number of facts and allegations set out in the Notice of Hearing dated June 15, 2010. The Hearing Panel found that all of the allegations against Mr. McAuley had been established, but reserved its decision on penalties and costs.

The Hearing Panel advised that it would issue written reasons for its decision in due course.

A copy of the [Notice of Hearing](#) is available on the MFDA website at www.mfda.ca.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers, regulating the operations, standards of practice and business conduct of its 136 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.