



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels
121 King Street West, Suite 1600, Toronto, Ontario, M5H 3T9
TEL: 416-361-6332 FAX: 416-943-1218 WEBSITE: www.mfda.ca

Contact: Laurie Gillett
Manager, Membership Services
Phone: 416-943-5827
E-mail : lgillett@mfda.ca

BULLETIN #0047 – M
January 12, 2004

MFDA Bulletin

Membership Information

For Distribution to Relevant Parties within your Firm

Regulatory Harmonization Initiative

As a national self-regulatory organization, the MFDA is in a position to raise and address with other securities regulators issues of regulatory duplication and harmonization in order to further the goal of providing better, more effective and more efficient regulation. To this end, the MFDA is currently undertaking a review of its own Rules in relation to requirements and practices imposed by other securities regulators across Canada to identify areas of duplication or inconsistency.

We would like to invite Members to comment on areas of inconsistency and duplication between MFDA Rules and securities law or practices that they have encountered. Please direct your comments to Laurie Gillett, Manager, Membership Services, 121 King Street West, Suite 1600, Toronto, Ontario, M5H 3T9 or lgillett@mfda.ca.

(doc #24453)