



Mutual Fund Dealers Association of Canada
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MFDA Bulletin

Policy

For Distribution to Relevant Parties within your Firm

Regional Council Election Results

The MFDA recently conducted a 4-week nomination process during which Members were formally invited to nominate eligible candidates for election to Regional Councils in each of the MFDA's four Regions.

In each Region, the number of candidates nominated by Members for election to the Regional Council did not exceed the number of elected representatives determined by the Board for that Regional Council. As a result, all nominated candidates have been elected by acclamation. The MFDA would like to thank all Members and candidates for participating in the process.

The elected representatives of the MFDA Regional Councils are set out below:

Atlantic Regional Council:

Wendi Bacon
Ann C. Etter
David Losier
Robert G. Malcolm
Remy Richard
Edgar D. Wilcott

Royal Mutual Funds Inc.
BMO Investments Inc.
Acadia Financial Services Inc.
Legacy Associates Inc.
Peak Investment Services Inc.
Legacy Associates Inc.

Ontario Regional Council:

John F. Armstrong
Richard E. Austin
Anthony Bagnato

Armstrong + Quaile Associates Inc.
Scotia Securities Inc.
Royal Mutual Funds Inc.

Lesley Brown
Lino Cambone
Geoffrey Charlton
Daniel R. Clarke
Gerald S. Coffin
Theresa Currie
Chuck Grace
Dennis Gregoris
Paul Griffen
Robert Hovianseian
Sandra Kegie
Darcy M. Lake
Kenneth Mann
Christopher Marrese
Ian Moorhouse
David Sharpe

Prairie Regional Council:

Elaine Bradley
Alan Caplan
Anneke Findlay
Terry Ford
Craig Francis
Erwin Granson
Kathleen Laponsee
Michael Mezei
Robert E. Roy
Jan Sampson
Michael R. Sellors
Richard Sydenham
Tom Vowell

Pacific Regional Council:

Greg Cameron
Raymond F. Currie
Dawn M. Daughton
Robert Kirwin
J. Douglas MacKay
Susan Monk
Sharon Moskalyk
Larry Neilsen
Cheryl Shkurhan
Darlene P. Thomas
Gail Winzoski

Investors Group Financial Services Inc.
Scotia Securities Inc.
Berkshire Investment Group Inc.
Equity Associates Inc.
Assante Financial Management Ltd.
Multiple Retirement Services Inc.
Quadrus Investment Services Inc.
CIBC Securities Inc.
ING Wealth Management Inc.
Confident Financial Services (1969) Limited
FundEX Investments Inc.
BMO Investments Inc.
IQON Financial Inc.
Clarica Investco Inc.
Independent Accountant's Investment Group Inc.
PFSL Investments Canada Ltd.

Royal Mutual Funds Inc.
Peak Investment Services Inc.
FundEX Investments Inc.
Partners in Planning Financial Services Ltd.
The Western Mutual Fund Company Ltd.
CWB Canadian Western Financial Ltd.
ING Wealth Management Inc.
ATB Investment Services Inc.
Assante Financial Management Ltd.
IQON Financial Inc.
Partners in Planning Financial Services Ltd.
BMO Investments Inc.
Investors Group Financial Services Inc.

Chartwell Financial Inc.
BMO Investments Inc.
ING Wealth Management Inc.
HSBC Investment Funds (Canada) Inc.
Credential Asset Management Inc.
Peak Investment Services Inc.
Investors Group Financial Services Inc.
Phillips, Hager & North Investment Funds Ltd.
Coast Capital Investments
Royal Mutual Funds Inc.
Chartwell Financial Inc.

Regional Council Appointments

The next step in the organization of the Regional Councils is the appointment, by the Board, of the Chair and Vice-Chair for each Regional Council from among the elected representatives.

This will be followed by the establishment of an Appointment Committee for each Region, consisting of the President and Chief Executive Officer of the MFDA, a Public Director and the Chair of the Regional Council. The Appointments Committee for each Region will appoint additional industry and public members to the Regional Council whose sole duty will be restricted to acting as members of Hearing Panels of Regional Councils, when selected to do so, to preside over MFDA disciplinary proceedings.

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