



Mutual Fund Dealers Association of Canada
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MFDA Bulletin

Policy

For Distribution to Relevant Parties within your Firm

Atlantic Regional Council – Membership Termination Hearing

On September 14, 2006, a Hearing Panel of the Atlantic Regional Council terminated the rights, privileges and membership of Tandem Wealth Management Inc. in the MFDA pursuant to section 24.3.2(b) of MFDA By-law No. 1 (*Further Suspension, Termination of Rights and Privileges, Expulsion*).

A Copy of the Hearing Panel's Order is available on the MFDA website at www.mfda.ca.

The Mutual Fund Dealers Association of Canada is the self-regulatory organization for Canadian mutual fund dealers. The MFDA regulates the operations, standards of practice and business conduct of its 174 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.