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BULLETIN #0233 – P
November 13, 2006

MFDA Bulletin

Policy

For Distribution to Relevant Parties within your Firm

Recognition of the MFDA as a Self-Regulatory Organization in New Brunswick

The purpose of this Bulletin is to advise that the New Brunswick Securities Commission (“NBSC”) has issued for public comment a draft order recognizing the MFDA as a self-regulatory organization (“SRO”) in New Brunswick. Comments may be submitted to the NBSC by no later than January 8, 2007.

The draft recognition order may be found on the NBSC’s website at <http://www.nbsc-cvmnb.ca/PDF/Recognition%20to%20the%20Mutual%20Fund%20Dealers%20Association%20of%20Canada-EN.pdf>.