



Mutual Fund Dealers Association of Canada  
Association canadienne des courtiers de fonds mutuels

Contact: Paige Ward  
Director of Policy and Regulatory Affairs  
Phone: (416) 943-5838  
E-mail: pward@mfd.ca

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# MFDA Bulletin

## Policy

**For Distribution to Relevant Parties within your Firm**

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### Recognition of the MFDA as a Self-Regulatory Organization in New Brunswick

The purpose of this Bulletin is to advise that the New Brunswick Securities Commission (“NBSC”) has recognized the MFDA as a self-regulatory organization (“SRO”) in New Brunswick.

The NBSC has decided not to suspend MFDA Rule 2.4.1 (Payment of Commissions to Non-Registered Entities) but intends on reexamining this issue after further study.

The recognition order may be found on the MFDA website at [www.mfda.ca/about/recognition.html](http://www.mfda.ca/about/recognition.html).

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