



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Membership Information

For Distribution to Relevant Parties within your Firm

MFDA and MFDA Investor Protection Corporation (“IPC”) Questionnaire

The MFDA and MFDA IPC has issued a questionnaire to obtain current and detailed information on the types of products sold by Members as well as other information relating to Member operations. This questionnaire follows up on the questionnaire issued in July 2005 with respect to Member activities regarding exempt products, deposit instruments and referral arrangements.

There are two parts to the questionnaire. Part I is mandatory for use in the MFDA’s compliance and enforcement activities and the information collected will be shared with the MFDA IPC. Part II is voluntary but is necessary for the MFDA IPC to be able to assess fund size, coverage limits, and Member fees.

Responses to the questionnaire are required by **April 30, 2008**. If a Member has not received a copy of the questionnaire or has any questions with respect to this initiative, please contact Ken Woodard, Director, Membership Services at (416) 943-4602 / kwoodard@mfd.ca.

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