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BULLETIN #0308 – M
May 2, 2008

MFDA Bulletin

Membership Information

For Distribution to Relevant Parties within your Firm

ASL Direct Inc. – Temporary Cease Trade Order

As set out in MFDA Bulletin #0306-M, the Ontario Securities Commission (“OSC”) issued a temporary cease trade order (“Order”) on April 22, 2008 that prohibits Adrian Samuel Leemhuis, President of ASL Direct Inc., a Member of the MFDA, from trading in securities including mutual funds. The Order also prohibits trading in the securities of Future Growth Funds, as listed in the Order.

As a result of matters being investigated by the MFDA, OSC and the Autorité des marchés financiers (“AMF”), the OSC issued a cease trade order on May 1, 2008 that prohibits ASL Direct Inc. from trading in securities including mutual funds.

Clients of ASL Direct that have questions regarding this matter should contact Ken Woodard, Director, Communications and Membership Services.

For more information, please refer to the OSC website at www.osc.gov.on.ca.

DOCS#139047