



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Enforcement

For Distribution to Relevant Parties within your Firm

Regional Councils – Membership Suspension Hearing

On November 14, 2008 a Hearing Panel of the Prairie Regional Council suspended the rights and privileges of MFDA membership in respect of **Laurier Capital Planning Inc.** pursuant to section 24.3.2(a)(i) and (xiv) of MFDA By-law No. 1.

A copy of the Hearing Panel's Order is available on the MFDA website at www.mfda.ca.

The Mutual Fund Dealers Association of Canada is the self-regulatory organization for Canadian mutual fund dealers. The MFDA regulates the operations, standards of practice and business conduct of its 154 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.