



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Enforcement

For Distribution to Relevant Parties within your Firm

Regional Councils – Membership Suspension Hearing

On February 10, 2009, a public representative of the MFDA's Central Regional Council suspended the rights and privileges of MFDA membership in respect of **IOCT Financial Inc.** pursuant to sections 24.3.2(a)(i) and (viii) of MFDA By-law No. 1 (Applications in Exceptional Circumstances).

A copy of the suspension Order is available on the MFDA website at www.mfda.ca.

The MFDA is the self-regulatory organization for Canadian mutual fund dealers. The MFDA regulates the operations, standards of practice and business conduct of its 153 Members and their approximately 75,000 Approved Persons with a mandate to protect investors and the public interest.

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