



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Policy

For Distribution to Relevant Parties within your Firm

MFDA Rules of Procedure

Proposed amendments to MFDA Rules of Procedure have been published for comment. The proposed amendments fall into three categories: (i) minor housekeeping amendments; (ii) enhancements to the current Rules of Procedure identified by MFDA staff based on proceedings that have been conducted to date; and (iii) new Rules of Procedure governing applications for interim relief and the appointment of monitors to manage the affairs of Members.

The proposed amendments will assist Hearing Panels and parties to MFDA proceedings by providing additional guidance on issues not previously addressed, or not fully addressed, by the current Rules of Procedure. The proposed amendments are intended to enhance the efficiency, effectiveness and fairness of MFDA proceedings by providing greater clarity and transparency with respect to the hearing process.

Comments should be made in writing prior to **October 29, 2009**. One copy of each comment letter should be addressed to the attention of Jason Bennett, Corporate Secretary, Mutual Fund Dealers Association of Canada, 121 King St. West, Suite 1000, Toronto, Ontario, M5H 3T9 and one copy addressed to the attention of Sarah Corrigan-Brown, Senior Legal Counsel, British Columbia Securities Commission, 701 West Georgia Street, P.O. Box 10142, Pacific Centre, Vancouver, British Columbia, V7Y 1L2.

Those submitting comment letters should be aware that a copy of their comment letter will be made publicly available on the MFDA website at www.mfda.ca.

To view the current revisions to the proposed amendments and other related documents, please go to: <http://www.mfda.ca/regulation/propamend.html> .

The proposed amendments may also be viewed on the British Columbia Securities Commission website at: <http://www.bcsc.bc.ca/sros.asp?id=8420>.

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