



Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Membership Information

For Distribution to Relevant Parties within your Firm

H1N1 Flu

In light of concerns with respect to the H1N1 flu virus, a new strain of pandemic influenza which emerged in April 2009, we would like to remind Members of their obligations with respect to business continuity planning. The World Health Organization (“WHO”) maintained the alert level for the H1N1 flu at phase 6 (pandemic), at the same time emphasizing that, based on scientific evidence, the WHO considers the overall severity of the influenza pandemic to be moderate.

Members are reminded of their obligations under MFDA Rule 2.9 and [Member Regulation Notice MR-0056 Business Continuity Planning](#) which require Members to establish and maintain appropriate business continuity arrangements to ensure that they are adequately prepared to minimize business disruptions in a variety of potential crisis situations, including an outbreak of a widespread disease, and are able to continue service to clients or resume operations within an acceptable period of time.

In the event a Member experiences significant business disruption that results in its inability to properly service clients or meet regulatory requirements, please contact Membership Services at 416-361-6332 Option #1 or 1-888-466-6332 Option #1.

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