



**Mutual Fund Dealers Association of Canada**  
Association canadienne des courtiers de fonds mutuels

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# MFDA Bulletin

## Policy

**For Distribution to Relevant Parties within your Firm**

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### Proposed Consequential Amendments to MFDA Rules Resulting from National Instrument 31 – 103 *Registration Requirements and Exemptions*

On December 23, 2009, the British Columbia Securities Commission (“BCSC”) published for public comment proposed amendments to MFDA Rules and Policy No. 6 (*Information Reporting Requirements*) resulting from requirements established under National Instrument 31-103 *Registration Requirements and Exemptions* (“NI 31-103”).

The proposed amendments are conforming and consequential in nature and are intended to ensure that requirements under MFDA Rules and Policies are consistent with those under NI 31-103.

Comments should be made in writing. One copy of each comment letter should be delivered by **March 23<sup>rd</sup>, 2010** (within 90 days of the publication of this bulletin), addressed to the attention of the Corporate Secretary, Mutual Fund Dealers Association of Canada, 121 King St. West, Suite 1000, Toronto, Ontario, M5H 3T9 and one copy addressed to the attention of Mark Wang, Manager, Legal Services, British Columbia Securities Commission, 701 West Georgia Street, P.O. Box 10142, Pacific Centre, Vancouver, British Columbia, V7Y 1L2.

Those submitting comment letters should be aware that a copy of their comment letter will be made publicly available on the MFDA website at [www.mfda.ca](http://www.mfda.ca).

To view the proposed amendments, please go to:  
<http://www.mfda.ca/regulation/propAmend/CSAnotice31-103.pdf>

The proposed amendments may also be viewed on the BCSC’s website at:  
<http://www.bpsc.bc.ca/sros.asp?id=9472>.