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MFDA Bulletin

Regional Councils

For Distribution to Relevant Parties within your Firm

Regional Council Nomination and Election Process

Members are invited to nominate candidates for election to the MFDA's four Regional Councils. To be eligible, a nominee must be resident in the applicable Region and be a partner, officer, director, employee or agent of a Member in that Region.

The Regional Councils are composed of elected and appointed representatives in the following Regions:

- Atlantic Region (Nova Scotia, New Brunswick, Prince Edward Island & Newfoundland and Labrador)
- Central Region (Ontario & Quebec)
- Pacific Region (British Columbia & Yukon Territory)
- Prairie Region (Alberta, Saskatchewan, Manitoba, Northwest Territories & Nunavut)

Elected representatives serve 2-year terms of office and are eligible to be selected as members of 3-person Hearing Panels that are established from time to time to adjudicate MFDA disciplinary proceedings. Elected representatives may also participate in meetings of their Regional Council to consider policy matters relevant to the MFDA.

The nomination process will be conducted on a Region-by-Region basis and will be open until Friday, March 26, 2010. In the coming days, Members will receive a nomination package for each Region in which they have a head office or branch office. Nominees should have a strong background in compliance and have held senior positions within the industry and their Member firms for a number of years.

The number of individuals to be elected to each Regional Council is as follows:

- Atlantic Region: 10 elected representatives
- Central Region: 20 elected representatives
- Pacific Region: 20 elected representatives
- Prairie Region: 20 elected representatives

If the number of eligible nominees in any Region exceeds the number of positions set out above, the MFDA will coordinate a mail-in ballot process to elect representatives to each such Regional Council. The terms of office for successful candidates will commence on June 2, 2010.

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