Mutual Fund Dealers Association of Canada
Association canadienne des courtiers de fonds mutuels

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MFDA Bulletin

Policy

For Distribution to Relevant Parties within your Firm

Applications for Membership on Policy Advisory Committee

The MFDA is currently seeking applications for new members to fill vacancies on its Policy Advisory Committee ("PAC"). The deadline for applications is February 29, 2012.

Mandate of the PAC

The principal functions of the PAC are as follows:

1. To provide advice to MFDA staff on a variety of matters, including regulatory and policy initiatives and industry trends and practices.
2. At the request of MFDA staff, provide advice and comment on the legal, regulatory and market implications of any aspect of MFDA Rules, By-laws, Policies and operations.

Appointment Criteria

The MFDA President and Chief Executive Officer selects the members of the PAC based on the recommendation of MFDA staff.

Members of the PAC are senior compliance staff of MFDA Members selected with a view to ensuring that the PAC is reasonably representative of the diversity of MFDA membership.

Individuals appointed to PAC should have an excellent knowledge of securities law and mutual fund regulation. Expertise in an area of special interest to the MFDA at the time an appointment is made may also be a factor in selection. Members of the PAC are expected to have excellent technical abilities and a strong interest in the development of securities regulatory policy.

It is expected that the PAC will meet at least quarterly. Committee members will be expected to attend (in person or by conference call) all meetings of the PAC and to volunteer time to assist MFDA staff in accordance with the mandate of the PAC. PAC members should be in a position to make the time commitment that PAC's work entails.

Application Process

Qualified individuals interested in serving on the PAC should forward an up-to-date resume together with a brief covering letter outlining relevant industry experience to the attention of:

Paige L. Ward
General Counsel & Vice-President, Policy

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