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## **CUSTODIAL AGREEMENTS**

The following is an alphabetical listing of prescribed Custodial Agreements executed as of **January 31, 2012** between the Mutual Fund Dealers Association of Canada (MFDA), as bare trustee on behalf of Members, and mutual fund companies and other financial institutions, in accordance to the Member Regulation Notice MR-0058, Acceptable Securities Locations. Please ensure that your assets held are included in the types of products listed by the entity.

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
1 Abria Alternative Investments Inc.	Hedge Fund
2 Acker Finley Asset Management Inc.	Mutual Fund
3 ACM Advisors Ltd.	Mortgage Investment Fund
4 Acorn Global Investments Inc.	Hedge Fund
5 Acuity Funds Ltd.	Mutual Fund
6 Aegon Fund Management Inc.	Mutual Fund
7 AGF Funds Inc	Mutual Fund
- AGF family of mutual funds	
- AGF Elements family of mutual funds	
- Harmony family of mutual funds	
8 AGF Trust Company	GIC's
9 AlphaNorth Asset Management	Mutual Fund
10 Arrow Capital Management Inc.	Hedge Fund
11 Aston Hill Asset Management Inc.	Mutual Fund / Hedge Fund / Labour Sponsored Fund / Structured Products
12 ATB Investment Management Inc.	Mutual Fund
13 Aurion Capital Management Inc.	Pooled Fund
14 Aventine Management Group Inc.	Mutual Fund
15 B2B Trust	GIC's / High Interest Investment Accounts
16 Bank of Nova Scotia (The)	GIC's
17 Bank of Montreal	GIC's
18 Bank of Montreal Mortgage Corporation	GIC's

	<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
19	Bank West	GIC's
20	Barometer Capital Management Inc.	Pooled Fund
21	BMO Harris Investment Management Inc.	Mutual Fund
22	BMO Investments Inc.	Mutual Fund
	- BMO Guardian Funds	
	- BMO Mutual Funds	
22	BMO InvestorLine Inc.	Investment Products
23	BMO Nesbitt Burns Inc.	Mutual Fund / Linked Notes
24	BMO Trust Company	GIC's
	- Advisor's Advantage Trust	
25	BC Advantage Funds (VCC) Ltd.	Venture Capital Corporation (VCC) Funds
26	B.E.S.T. Investment Counsel Limited	Mutual Fund / Labour Sponsored Fund
27	BetaPro Management Inc.	Mutual Fund / Pooled Fund
28	Beutel Goodman Managed Funds Inc.	Mutual Fund
29	BluMont Capital Corporation	Mutual Fund / Hedge Fund / Pooled Fund / PPN's / Limited Partnerships / Alternative Investment
	- Exemplar Portfolios Ltd.	
	- BluMont family of funds	
	- Northern Rivers Capital Management family of funds	
30	BNP Paribas (Canada)	Principal Protected Linked Notes
31	Brandes Investment Partners & Co.	Mutual Fund
32	Brickburn Asset Management Inc.	Mutual Fund
	- Dominion Equity Resource Fund Inc.	
33	Bridgewater Bank	GIC's
34	Brigata Capital Management Inc.	Mutual Fund
35	British Columbia Discovery Fund (VCC) Inc.	Venture Capital Fund
36	Bullion Management Services Inc.	Mutual Fund
37	Caisse populaire d'Alban limited	GIC's
38	Caldwell Investment Management Ltd.	Mutual Fund
39	Canada Life Assurance Company (The)	Segregated Fund
40	Canadian Imperial Bank of Commerce	Mutual Fund / GIC's / Principal Protected Notes / Deposit Accounts
41	Canadian Western Bank	GIC's
42	Canadian Western Trust Company	Self Directed Registered Accounts / GIC's
43	Canoe Financial LP	Mutual Fund
	- EnerVest Natural Resource Fund	
	- Canoe Family of Funds	

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
44 Capital International Asset Management (Canada), Inc.	Mutual Fund
45 Castlerock Investments Inc.	Mutual Fund
46 CGS Asset Management Ltd.	Mutual Fund
47 Chou Associates Management Inc.	Mutual Fund
48 CIBC Asset Management Inc	Mutual Fund
49 CIBC Mortgages Inc.	GIC's / Deposit Accounts
50 CIBC Trust Corporation	GIC's / Deposit Accounts
51 CI Investments Inc.	Investment Products
- United Financial family of funds	
- Lakeview Asset Management family of funds	
52 Citizens Bank of Canada	Term Deposits / Demand Accounts
53 Coast Capital Savings Credit Union	GIC's
54 Communication Technologies Credit Union Limited	GIC's
55 Community Trust Company	GIC's
56 Concentra Financial Services Association	GIC's
57 Connor, Clark & Lunn Private Capital Ltd.	Mutual Fund
58 Counsel Portfolio Services Inc.	Mutual Fund
59 Credit Union Central of British Columbia	Term Deposits
60 Creststreet Mutual Funds Limited	Mutual Fund / Limited Partnership
61 Crystal Wealth Management System Limited	Mutual Fund
62 Desjardins Financial Security Life Assurance Company	Annuity Contracts / Deferred Annuity Contracts / Individual Variable Insurance Contracts / Insurance Contracts
63 Dimensional Fund Advisors Canada Inc.	Mutual Fund
64 D.O.C.P. Management Corp.	Mutual Fund / OM Products / Limited Partnership
65 Dundee Bank of Canada	GIC's / PPN's / Structured Notes / Savings Accounts
66 Dundee Securities Corporation	Structured Notes
67 EdgePoint Wealth Management Inc.	Mutual Fund
68 Educators Financial Group Inc.	Mutual Fund
69 Equity Financial Trust Company	GIC's
70 Effort Trust Company (The)	GIC's
71 Empire Life Insurance Company (The)	Segregated Fund
- Segregated Funds	
- Interest investment options provided within an insurance contract	

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
72 Épargne Placement Québec	Savings Products
73 Epic Capital Management Inc.	Pooled Fund
74 Equilibrium Capital Management Inc.	Mutual Fund
75 Equitable Trust Company (The)	GIC's
76 Equity Credit Union Inc.	GIC's
77 Ethical Funds Inc.	Mutual Fund
78 Excel Funds Management Inc.	Mutual Fund
79 Fédération des caisses Desjardins Du Québec	Mutual Fund / Desjardins Mutual Funds
80 Fédération des caisses Desjardins Du Québec For itself and on behalf of its member caisses	GIC's / Shares
81 Fédération des caisses PopulairesDe L'Ontario Inc. For itself and on behalf of its member caisses	GIC's / Shares
82 Fidelity Investments Canada Limited	Mutual Fund
83 Fiducie Desjardins Inc.	Mutual Fund / Segregated Fund / GIC's
84 Fiera Sceptre Inc.	Mutual Fund / Hedge Fund / PPN's / Structured Products / Pooled Fund / Segregated Fund
85 Finnish Credit Union Limited	GIC's
86 First Asset Investment Management Inc. - Criterion Investments Family of Funds - TDK Resource Fund Inc.	Mutual Fund
87 First Defined Portfolio Management Co.	Mutual Fund
88 Fonds Férique	Mutual Fund
89 Franklin Templeton Investments Corp.	Mutual Fund / Pooled Fund / Segregated Fund
90 Friedberg Mercantile Group Ltd.	Mutual Fund
91 Front Street Capital 2004	Mutual Fund / Hedge Fund / Labour Sponsored Fund
92 FrontierAlt Funds Management Limited	Mutual Fund
93 Galileo Funds Inc.	Mutual Fund
94 General Bank of Canada	GIC's
95 Gentree Asset Management Inc.	Hedge Fund
96 Golden Opportunities Fund Inc.	Labour Sponsored Investment Fund
97 Goodman & Company, Investment Counsel Ltd. - Dynamic Mutual Fund	Mutual Fund / Hedge Fund / Labour Sponsored Fund / GIC's / Linked Notes / Deposit Accounts / Limited Partnership / PPN's / Structured Notes
98 Goodwood Inc.	Mutual Fund
99 Great-West Life Assurance Company (The)	Segregated Fund

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
100 GrowthWorks Atlantic Ltd.	Labour Sponsored Fund
101 GrowthWorks Capital Ltd. - Matrix Funds Management (A Division of GrowthWorks Capital Ltd.)	Labour Sponsored Fund / Mutual Fund
102 GrowthWorks WV Management Ltd.	Labour Sponsored Fund
103 Guardian Capital LP	Pooled Funds / Mutual Fund
104 Hamilton Municipal Employees' Credit Union Limited	GIC's
105 Harvest Portfolios Group Inc.	Mutual Fund
106 Hillsdale Investment Management Inc.	Mutual Fund / Hedge Fund
107 Home Trust Company	GIC's
108 Horizons Funds Inc.	Mutual Fund / OM Products / Limited Partnership
109 HSBC Bank Canada	GIC's
110 HSBC Investment Funds (Canada) Inc.	Mutual Fund
111 HSBC Mortgage Corporation (Canada)	GIC's
112 HSBC Trust Company (Canada)	GIC's
113 IA Clarington Investments Inc.	Mutual Fund / Investment Products
114 I.A. Michael Investment Counsel Ltd. - ABC Funds	Mutual Fund
115 ICICI Bank Canada	GIC's
116 I.G. Investment Management, Ltd.	Mutual Fund
117 Industrial Alliance Insurance and Financial Services Inc.	Segregated Fund
118 Industrial-Alliance Pacific Life Insurance Company	Segregated Fund
119 Industrial Alliance Trust Inc.	GIC's
120 ING Bank of Canada	Mutual Fund / GIC's / Savings Accounts
121 ING Direct Asset Management Limited	ING Direct Streetwise Fund
122 Invesco Trimark Ltd.	Mutual Fund / Segregated Fund
123 Integra Capital Limited	Mutual Fund
124 Island Savings Credit Union	GIC's
125 Italian Canadian Savings & Credit Union Limited	GIC's
126 JC Clark Ltd.	Pooled Fund
127 JDM Investment Partners Ltd.	Mutual Fund
128 Jones Heward Investment Counsel Inc.	Pooled Fund
129 JovFunds Management Inc.	Mutual Fund / Alternative Investments / Structured Products
130 La Fédération des Caisses Populaires Acadiennes Ltée	GIC's

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>
131 Laurentian Bank of Canada	GIC's
132 Laurentian Trust of Canada Inc.	GIC's
133 LBC Trust	GIC's
134 Legg Mason Canada Inc.	Mutual Funds / Pooled Fund
135 Leith Wheeler Investment Counsel Ltd.	Mutual Fund
136 Lincluden Management Limited	Mutual Fund
137 Lionridge Capital Management Inc.	Mutual Fund / Pooled Fund
138 M.R.S. Trust Company	GIC's
139 Mackenzie Financial Corporation - Saxon funds	Mutual Fund / Segregated Fund
140 Man Investments Canada Corp.	Hedge Fund / Mutual Fund
141 Manufacturers Life Insurance Company (The)	GIC's / Segregated Fund
142 Manulife Asset Management Limited	Mutual Fund / Segregated Fund
143 Manulife Bank of Canada	GIC's / Investment Savings Account
144 Manulife Trust Company	GIC's / Investment Savings Account
145 Maple Trust Company	GIC's
146 Marquest Asset Management Inc.	Pooled Fund/ Segregated Fund/ Institutional Fund
147 Mawer Investment Management Ltd.	Mutual Fund
148 MCAN Mortgage Corporation	GIC's
149 McElvaine Investment Management Ltd.	Mutual Fund
150 McLean Budden Limited	Mutual Fund
151 MD Physician Services Inc.	Mutual Fund
152 Meritas Financial Inc.	Mutual Fund
153 Merrill Lynch Canada Inc.	Linked Notes
154 Middlefield Limited	Mutual Fund
155 MineralFields Fund Management Inc. - EnergyFields family of mutual funds and LPs - MineralFields family of mutual funds and LPs - Pathway family of mutual funds and LPs	Mutual Fund / Limited Partnership
156 Montreal Trust Company of Canada	GIC's
157 National Trust Company	GIC's
158 Natcan Investment Management Inc.	Pooled Fund
159 Natcan Trust Company	Mutual Fund / GIC's / PPN's
160 National Bank of Canada	GIC's / PPN's
161 National Bank Securities Inc. - Altamira Mutual Funds	Mutual Fund / GIC's / PPN's

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
162 National Bank Trust Inc.	Mutual Fund / GIC's / PPN's
163 NBF Emissary Turnkey Solution LP	Mutual Fund
164 NexGen Financial Limited Partnership	Mutual Fund
165 Norrep Inc.	Mutual Fund
166 Northwest Mutual Funds Inc.	Mutual Fund
167 Ocean Rock Investments Inc.	Mutual Fund
168 O'Leary Funds Management LP	Mutual Fund
169 ONE Financial Corporation	PPN's
170 OpenSky Captial Inc.	Linked Notes / Mutual Fund
171 Oshawa Community Credit Union Limited	GIC's
172 Pacific & Western Bank of Canada	GIC's
173 Peace Hills Trust Company	GIC's
174 Pembroke Private Wealth Management Ltd.	Mutual Fund
175 PenderFund Capital Management Ltd.	Labour Sponsored Fund / Venture Capital Fund
176 Peoples Trust Company	GIC's
177 Picton Mahoney Asset Management	Hedge Fund
178 PIMCO Canada Corp.	Mutual Fund
179 Polar Securities Inc.	Hedge Fund
180 Portland Investment Counsel Inc.	Investment Funds / Limited Partnerships
181 Primevest Capital Corp.	Hedge Fund
182 Pro-Financial Asset Management Inc.	Hedge Fund / Linked Notes / Mutual Fund
183 Prospera Credit Union	GIC's
184 Putnam Investments Inc.	Mutual Fund
185 Quadrexx Asset Management Inc.	Hedge Fund
186 Qwest Investment Management Corp.	Mutual Fund
187 RBC Global Asset Management Inc.	Mutual Fund / Hedge Fund / PPN's
188 RBC Life Insurance Company	Segregated Fund
189 Redwood Asset Management Inc.	Hedge Fund
190 ResMor Trust Company	GIC's
191 Resolute Funds Limited	Mutual Fund
192 Return on Innovation Management Ltd.	Mutual Fund / Labour Sponsored Fund / Pooled Funds
193 RN Croft Financial Group Inc.	Mutual Fund / Pooled Fund
194 Royal Bank of Canada	GIC's / Investment Savings Account
195 Russell Investments Canada Limited	Mutual Fund
- LifePoints family of mutual funds	

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
- Russell Group family of mutual funds	
- Sovereign family of mutual funds	
196 SaskWorks Venture Fund Inc.	Mutual Fund
197 Scivest Alternative Strategies Inc.	Hedge Fund
198 SCM Limited Partnership	Hedge Fund
199 Scotia Asset Management L.P.	Mutual Fund
200 Scotia Capital Inc.	Mutual Fund/ Segregated Fund/ GIC's/ Linked Notes/ Debt, Equity and Money Market Instruments
201 Scotia Mortgage Corporation	GIC's
202 SEI Investment Canada Company	Mutual Fund
203 Sentry Select Capital Inc.	Mutual Fund / Structured Notes
204 Sentry Select Investments Inc.	Hedge Fund
205 SFCS Capital (Canada) Corp.	Hedge Fund
206 Silvercreek Management Inc.	Limited Partnership
207 Société Générale (Canada)	Principal Protected Notes
208 Spartan Fund Management Inc.	Hedge Fund
209 Sprott Asset Management Inc.	Mutual Fund / Hedge Fund / Limited Partnership
210 SSQ, Société d'assurances-vie Inc.	Segregated Fund / GIC's
211 Standard Life Mutual Funds Ltd.	Mutual Fund
212 Standard Life Assurance Company of Canada (The)	Segregated Fund / Term Fund
213 Steadyhand Investment Management Ltd.	Mutual Fund
214 Stone & Co. Limited	Mutual Fund
215 Sun Life Global Investments (Canada) Inc.	Mutual Fund
216 Titan Funds Incorporated	Mutual Fund
217 TD Bank Financial Group	Investment Products
The Toronto-Dominion Bank	
TD Asset Management Inc.	
TD Pacific Mortgage Corporation	
TD Mortgage Corporation	
The Canada Trust Company	
First Nations Bank of Canada	
218 TD Securities Inc.	Linked Notes
219 TD Waterhouse Canada Inc.	Investment Products
220 Terra Fund Management Ltd.	Mutual Fund / Limited Partnership
- Terra family of mutual funds and LPs	

<b><u>Fund Manager/ Financial Institution</u></b>	<b><u>Type of Products</u></b>
221 Thornmark Asset Management Inc.	Pooled Fund
222 Tradex Management Inc.	Mutual Fund
223 Transamerica Life Canada	Segregated Fund / SPIA Contracts
224 Twin Oak Credit Union Ltd.	GIC's
225 Value Partners Investment Inc.	Mutual Fund
226 Van Arbor Asset Management Ltd.	Mutual Fund
227 Vancouver City Savings Credit Union	Term Deposits
228 Venator Capital Management Ltd.	Hedge Fund
- Performance Group of Funds	
- Performance Diversified Fund	
- Performance Growth Fund	
229 VenGrowth Asset Management Inc.	Mutual Fund / Labour Sponsored Fund
- VenGrowth family of funds	
230 Vertex One Asset Management Inc.	Mutual Fund / Hedge Fund
231 VL Advisors Inc.	Labour Sponsored Fund
232 Wave Cycle Mutual Funds Ltd.	Mutual Fund
233 Webb Asset Management Canada, Inc.	Mutual Fund
234 Wellington West Asset Management Inc.	Mutual Fund
- NxT Funds	
235 Yorkville Asset Management Inc.	Mutual Fund



## CUSTODIAL AGREEMENTS

### Additions to List since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Approved</u>
Acorn Global Investments Inc.	Hedge Fund	January 26, 2010
AlphaNorth Asset Management	Mutual Fund	June 21, 2011
Aurion Capital Management Inc.	Pooled Fund	April 26, 2010
Bank of Montreal Mortgage Corporation	GIC's	April 28, 2008
Barometer Capital Management Inc.	Pooled Fund	February 26, 2010
- Barometer Global Tactical Balanced Pool		
- Barometer High Income Pool		
- Barometer Long Short Equity Pool		
- Barometer Equity Pool		
B2B Trust	High Interest Investment Accounts	October 15, 2008
Blumont Capital Corporation	Pooled Fund / PPN's / Limited Partnerships / Alternative Investment	August 19, 2010
BNP Paribas (Canada)	Principal Protected Linked Notes	August 9, 2010
Caisse populaire d'Alban limited	GIC's	May 29, 2008
Canadian Imperial Bank of Commerce	Principal Protected Notes	July 16, 2009
Canoe Financial LP	Mutual Fund	March 31, 2011
CGS Asset Management Ltd.	Mutual Fund	October 16, 2009
CI Investments Inc.	Investment Products	June 2, 2009
Communication Technologies Credit Union Limited	GIC's	December 31, 2007
Credit Union Central of British Columbia	Term Deposits	December 31, 2007
Delphi Asset Management Inc.	Hedge Fund	June 30, 2008
EdgePoint Wealth Management Inc.	Mutual Fund	October 29, 2008
Equity Financial Trust Company	GIC's	November 2, 2010
Fédération des caisses Populaires De L'Ontario Inc.	GIC's / Shares	April 30, 2008
For itself and on behalf of its member caisses		
Fiera Capital Inc.	Mutual Fund	May 27, 2008
First Asset Investment Management Inc.	Mutual Fund	December 4, 2009
Criterion Investments Family of Funds		

Friedberg Mercantile Group Ltd.	Mutual Fund	December 31, 2007
General Bank of Canada	GIC's	April 29, 2008
Guardian Capital LP	Pooled Funds	October 15, 2008
Guardian Capital LP	Mutual Funds	October 31, 2011
Harvest Portfolios Group Inc.	Mutual Fund	July 21, 2011
IA Clarington Investments Inc.	Investment Products	August 31, 2010
Industrial Alliance Trust Inc.	GIC's	October 31, 2011
ING Direct Asset Management Limited	ING Direct Streetwise Fund	February 28, 2008
Island Savings Credit Union	GIC's	December 31, 2007
Italian Canadian Savings & Credit Union Limited	GIC's	December 31, 2007
JC Clark Ltd.	Pooled Fund	December 15, 2010
JDM Investment Partners Ltd.	Mutual Fund	February 16, 2010
Lionridge Capital Management Inc.	Mutual Fund / Pooled Fund	February 26, 2010
Manulife Trust Company	GIC's / Investment Savings Account	June 11, 2010
Marquest Asset Management Inc.	Pooled Fund/ Segregated Fund/ Institutional Fund	March 27, 2008
Matrix Funds Management (A Division of GrowthWorks Capital Ltd.)	Mutual Fund	November 29, 2010
O'Leary Funds Management LP	Mutual Fund	December 12, 2011
Oshawa Community Credit Union Limited	GIC's	December 31, 2007
OTG Financial Inc.	Mutual Fund	December 31, 2007
PIMCO Canada Corp.	Mutual Fund	December 29, 2010
Polar Securities Inc.	Hedge Fund	November 13, 2009
Portland Investment Counsel Inc.	Investment Funds / Limited Partnerships	April 14, 2011
Primevest Capital Corp.	Hedge Fund	April 14, 2008
RBC Life Insurance Company	Segregated Fund	October 28, 2008
RN Croft Financial Group Inc.	Mutual Fund / Pooled Fund	February 26, 2009
Royal Bank of Canada	Investment Savings Account	May 28, 2010
SCM Limited Partnership	Hedge Fund	December 5, 2008
Scotia Asset Management L.P.	Mutual Fund	November 30, 2009
Sentry Select Capital Inc.	Mutual Fund / Structured Notes	November 30, 2009
Sentry Select Investments Inc.	Hedge Fund	July 22, 2009
Silvercreek Management Inc.	Limited Partnership	November 4, 2011
Société Générale (Canada)	Principal Protected Notes	March 27, 2008
Spartan Fund Management Inc.	Hedge Fund	February 19, 2010
SSQ, Société d'assurances-vie Inc.	Segregated Fund/ GIC's	June 30, 2008
Standard Life Assurance Company of Canada (The)	Term Fund	July 27, 2010
Sun Life Global Investments (Canada) Inc.	Mutual Fund	November 30, 2010

TD Securities Inc.	Linked Notes	January 25, 2008
TD Waterhouse Canada Inc.	Investment Products	January 31, 2008
Thornmark Asset Management Inc.	Pooled Fund	December 14, 2009
Transamerica Life Canada	SPIA Contracts	February 5, 2008
Twin Oak Credit Union Ltd.	GIC's	December 31, 2007
Venator Capital Management Ltd.	Hedge Fund	March 19, 2009
Sterling family of funds		
VL Advisors Inc.	Labour Sponsored Fund	March 10, 2011
Wave Cycle Mutual Funds Ltd.	Mutual Fund	March 27, 2008
Webb Asset Management Canada, Inc.	Mutual Fund	March 17, 2011
Wellington West Asset Management Inc.	Mutual Fund	July 28, 2009
Yorkville Asset Management Inc.	Mutual Fund	October 5, 2011



## CUSTODIAL AGREEMENTS

### Deletions from List since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date Removed</u>	<u>Reason of Removal</u>
AIC Limited	Mutual Funds / Segregated Fund	September 25, 2009	AIC Limited has assigned the agreement to Elliott & Page Limited.
Inhance Investment Management Inc.	Mutual Fund	December 18, 2009	Change in Fund Manager to IA Clarington Investments Inc.
Scotia Securities Inc.	Mutual Fund	November 30, 2009	Change in Fund Manager to Scotia Asset Management L.P.
Sentry Select Capital Corp.	Mutual Fund / Structured Notes	November 30, 2009	Change in Fund Manager to Sentry Select Capital Inc.
Mavrix Fund Management Inc.	Mutual Fund	November 29, 2010	Change in Fund Manager to Matrix Funds Management (A Division of GrowthWorks Capital Ltd.)
Thales Active Asset Allocation Fund	Pooled Fund	February 28, 2011	The Thales Active Asset Allocation Fund is no longer active as of December 31, 2010.
VentureLink LP	Labour Sponsored Fund	March 10, 2011	Change in Fund Manager to VL Advisors Inc.
Middlefield Fund Management Limited	Mutual Fund	March 11, 2011	Middlefield Fund Management Limited assigned the agreement to Middlefield Limited.
TDK Fund Management Inc.	Mutual Fund	March 11, 2011	Change in Fund Manager to First Asset Investment Management Inc.

Halcyon Fund Management Inc.	Mutual Fund	March 11, 2011	Change in Fund Manager to BluMont Capital Corporation.
Algonquin Power Venture Fund Inc.	Labour Sponsored Fund	March 11, 2011	Algonquin Power Venture Fund Inc. is no longer in existence.
Kyoto Planet Asset Management Inc.	Mutual Fund	March 11, 2011	Kyoto Planet Asset Management Inc. is no longer in existence.
Les Fonds d'Investissements Spécialisés du Québec Inc.	Mutual fund	March 11, 2011	Les Fonds d'Investissements Spécialisés du Québec Inc. is no longer in existence.
Les Fonds Diamant Inc.	Mutual Fund	March 11, 2011	Les Fonds Diamant Inc. is no longer in existence.
EnerVest Funds Management Inc.	Mutual Fund	March 31, 2011	Change in Fund Manager to Canoe Financial LP.
Connor, Clark and Lunn Managed Portfolio Inc.	Mutual Fund	October 1, 2011	Change in Fund Manager to Connor, Clark & Lunn Private Capital Ltd.,
Sarbit Asset Management Inc.	Mutual Fund	November 30, 2011	No longer active and not registered.
Tricycle Asset Management Capital Corp.	Linked Notes	November 30, 2011	No longer registered.



## CUSTODIAL AGREEMENTS

### Name Changes and Mergers since December 21, 2007

<u>Fund Manager/ Financial Institution</u>	<u>Type of Products</u>	<u>Date</u>	<u>Comments</u>
AIM Funds Management Inc.	Mutual Fund / Segregated Fund	August 11, 2008	Name changed to Invesco Trimark Ltd.
Delphi Asset Management Inc.	Hedge Fund	July 24, 2009	Name Changed to SFCS Capital (Canada) Corp.
Lawrence Asset Management Inc.	Mutual Fund / Hedge Fund / Labour Sponsored Fund	January 11, 2011	Name Changed to Aston Hill Asset Management Inc.
Steadyhand Investment Management Ltd.	Mutual Fund	July 31, 2010	Fund manager for Steadyhand family of funds was previously Steadyhand Investment Funds Limited Partnership
Venator Capital Management Ltd.	Hedge Fund	January 1, 2010	Previously was fund manager for Sterling funds which are now Performance Group of Fund
Northern Rivers Capital Management Inc.	Mutual Fund	April 1, 2010	Northern Rivers Capital Management Inc. merged with Blumont Capital Corporation
OTG Financial Inc.	Mutual Fund	September 15, 2008	Name changed to Educators Financial Group Inc.
Altamira Investment Services Inc.	Mutual Fund	November 1, 2008	Altamira Investment Services Inc. merged with National Bank Securities Inc.
Guardian Group of Funds Limited (The)	Mutual Fund	November 1, 2009	Guardian Group of Funds Limited merged with BMO Investments Inc.
Fiera Capital Inc.	Mutual Fund / Hedge Fund / PPN's / Structured Products / Pooled Fund / Segregated Fund	September 1, 2010	Name changed to Fiera Sceptre Inc.

Sceptre Investment Counsel Limited	Mutual Fund / Hedge Fund	September 1, 2010	Name changed to Fiera Sceptre Inc.
United Financial Corporation	Mutual Fund	January 1, 2010	United Financial Corporation merged with CI Investments Inc.
Lakeview Asset Management Inc.	Mutual Fund	January 1, 2009	Lakeview Asset Management Inc. merged with CI Investments Inc.
Saxon Funds Management Limited	Mutual Fund	January 26, 2009	Saxon Funds Management Limited merged with Mackenzie Financial Corporation
Hartford Investments Canada Corp.	Mutual Fund	January 21, 2011	Name changed to Castlerock Investments Inc.
Elliott & Page Limited	Mutual Fund / Segregated Fund	August 19, 2010	Name changed to Manulife Asset Management Limited.
GBC Asset Management Inc.	Mutual Fund	August 15, 2011	Name changed to Pembroke Private Wealth Management Ltd.
Arrow Hedge Partners Inc.	Hedge Fund	April 1, 2011	Name changed to Arrow Capital Management Inc.
Qtrade Fund Management Inc.	Mutual Fund	October 8, 2010	Name changed to Ocean Rock Investments Inc.
Barlow Capital Management Inc.	Mutual Fund	February 1, 2011	Name changed to Aventure Management Group Inc.
Counsel Group of Funds Inc.	Mutual Fund	October 2, 2009	Name changed to Counsel Portfolio Services Inc.
MD Funds Management Inc.	Mutual Fund	July 31, 2009	MD Funds Management Inc. amalgamated with MD Private Investment Management Inc. The amalgamated entity is MD Physician Services Inc.
RBC Asset Management Ltd.	Mutual Fund / Hedge Fund / PPN's	November 1, 2010	RBC Asset Management Inc. amalgamated with Phillips, Hager & North Investments Management Ltd. The amalgamated entity is RBC Global Asset Management Inc.

Phillips, Hager & North investment  
Management Ltd.

Mutual Fund

November 1, 2010

Phillips, Hager & North  
Investment Management  
Ltd. amalgamated with  
RBC Asset Management  
Inc. The amalgamated  
entity is RBC Global Asset  
Management Inc.