

REGISTRATION INFORMATION

An organization seeking MFDA membership must be registered as a mutual fund dealer with the applicable provincial or territorial securities regulatory authority in the province or territory in which they intend to do business. The securities commissions issue the mutual fund dealer registration. Applications for registration as a mutual fund dealer should be made directly with the registration department of the applicable securities regulatory authorities outlined below.

An application for mutual fund dealer registration can be filed at the same time as an application for membership in the MFDA, but the applicant will not be admitted to membership in the MFDA until the application for mutual fund dealer registration has been approved by the applicable securities regulatory authority.

ALBERTA

Alberta Securities Commission
4th Floor, 300 - 5th Avenue S.W.
Calgary, AB, Canada T2P 3C4
Telephone: (403) 297-6454
Fax: (403) 297-6156
Website: www.albertasecurities.com

BRITISH COLUMBIA

British Columbia Securities Commission
P.O. Box 10142
Pacific Centre
701 West Georgia Street
Vancouver, BC, Canada V7Y 1L2
Telephone: (604) 899-6500
1-800-373-6393 (BC and AB only)
Fax: (604) 899-6506
Website: www.bcsc.bc.ca

MANITOBA

Manitoba Securities Commission
1130 - 405 Broadway Avenue
Winnipeg, MB, Canada R3C 3L6
Telephone: (204) 945-2548
Fax: (204) 945-0330
Website: www.msc.gov.mb.ca

NEW BRUNSWICK

Office of the Administrator of Securities
Room 606
P.O. Box 5001
133 Prince William Street
Saint John, NB, Canada E2L 4Y9
Telephone: (506) 658-3060
1-866-933-2222 (NB only)
Website: www.investor-info.ca

NEWFOUNDLAND & LABRADOR

Securities Commission of Newfoundland & Labrador
Department of Government Services and Lands
2nd Floor, West Block, Confederation Building
P.O. Box 8700
St. John's, NL, Canada A1B 4J6
Telephone: (709) 729-4189
Fax: (709) 729-6187
Website: www.gov.nf.ca/gs/cca/scon/

NORTHWEST TERRITORIES

Securities Registry
Department of Justice
Government of the Northwest Territories
1st Floor, Stuart M. Hodgson Building
5009-49th Street
Yellowknife, NWT, Canada X1A 2L9
Telephone: (867) 920-3318
Fax: (867) 873-0243
Website: www.justice.gov.nt.ca/SecuritiesRegistry/SecuritiesRegistry.htm

NOVA SCOTIA

Nova Scotia Securities Commission
Joseph Howe Building
2nd Floor, 1690 Hollis Street
PO Box 458
Halifax, NS, Canada B3J 2P8
Telephone: (902) 424-7768
Fax: (902) 424-4625
Website: www.gov.ns.ca/nssc/

NUNAVUT

Government of Nunavut Securities Registry
Bag 9500
Yellowknife, NWT, Canada X1A 2R3
Telephone: (867) 920-6354

ONTARIO

Registrant Regulation Department
Ontario Securities Commission
Suite 1903
Box 55
20 Queen Street West
Toronto, ON, Canada M5H 3S8
Telephone: (416) 593-8314
1-877-785-1555
Fax: (416) 593-8283
Website: www.osc.gov.on.ca

PRINCE EDWARD ISLAND

Securities Office
Consumer, Corporate and Insurance Services
Division
Office of the Attorney General
95 Rochford Street, 4th Floor, Shaw Building
P.O. Box 2000
Charlottetown, PEI, Canada C1A 7N8
Telephone: (902) 368-6288
Fax: (902) 368-5283
Website: www.gov.pe.ca/securities/

QUEBEC

Quebec City

Autorité des marchés financiers
Place de la Cité, Tour Cominar
2640, boulevard Laurier, bureau 400, 4e étage
Sainte-Foy, QC, Canada G1V 5C1
Telephone: (418) 525-0337; 1-877-525-0337
Website: www.lautorite.qc.ca/accueil.en.html

SASKATCHEWAN

Financial Services Commission of
Saskatchewan
1919 Saskatchewan Drive, 6th Floor
Regina, SK, Canada S4P 3V7
Telephone: (306) 787-5645
Fax: (306) 787-5899
Website: www.sfsc.gov.sk.ca

Please consult the website if you wish to do business in person at the Autorité's Québec City locations as they may be different than shown above.

Montreal

Autorité des marchés financiers
800, Square Victoria, 22^e étage
C.P. 246, Tour de la Bourse
Montréal, QC, Canada H4Z 1G3
Telephone: (514) 395-0337; 1-877-525-0337
Website: www.lautorite.qc.ca/accueil.en.html

YUKON

Registrar of Securities

Corporate Affairs

Department of Community Services

Government of Yukon

3rd Floor, Law Centre,

P.O. Box 2703,

Whitehorse, YK, Canada Y1A 2C6

Telephone: (867) 667-5314

Fax: (867) 393-6251

Website: www.gov.yk.ca/depts/community/corp/secureinvest.html
