

**AGREEMENT OF APPROVED PERSON**

I \_\_\_\_\_ acknowledge that I am an Approved Person in  
*(print or type full name)*  
respect of an applicant for membership in the Mutual Fund Dealers Association of  
Canada (the “MFDA”) or a member of the MFDA, (“Member Firm”, which term  
includes any other member of the MFDA in respect of which I am approved from time to  
time), and hereby agree:

1. to notify the Member Firm of which I am an Approved Person in writing of any  
change in information relating to me as an Approved Person as prescribed by any  
applicable law or any By-law, rule or policy of the MFDA (herein referred to as  
the “MFDA Rules”);
2. to be bound by, observe and comply with the MFDA Rules as they are from time  
to time amended or supplemented;
3. that I am conversant with the MFDA Rules, and to keep myself fully informed  
about the MFDA Rules as they are amended or supplemented from time to time;  
and
4. to submit to the jurisdiction of the MFDA and, wherever applicable, the Board of  
Directors, officers, committees and councils thereof.

In the event of my termination or suspension as an Approved Person in respect of  
a Member Firm, I agree forthwith to terminate my association with the Member Firm and  
thereafter not to accept employment with or perform services of any kind for any other  
Member Firm unless registered and approved in accordance with applicable laws and the  
MFDA Rules.

I acknowledge and consent that the MFDA may obtain any information  
whatsoever from any source, as permitted by law in any jurisdiction in Canada or  
elsewhere.

DATED at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Signature of Approved Person